Solving bitvectors with MCSAT: explanations from bits and pieces (draft)

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Abstract. We present a decision procedure for the theory of fixedsized bitvectors in the MCSAT framework. MCSAT is an alternative to CDCL(T) for SMT solving and can be seen as an extension of CDCL to domains other than the Booleans. Our procedure uses BDDs to record and update the sets of feasible values of bitvector variables. For explaining conflicts and propagations, we develop specialized word-level interpolation for two common fragments of the theory. For full generality, explanation outside of covered fragments resort to local bit-blasting to generate explanations. The approach is implemented in the Yices 2 SMT solver and we present experimental results.

1 Introduction

Model-constructing satisfiability (MCSAT) [7, 15, 16] is an alternative to the $CDCL(\mathcal{T})$ scheme [21] for Satisfiability Modulo Theories (SMT). While $CDCL(\mathcal{T})$ interfaces a CDCL SAT solver [19] with black-box decision procedures, MCSAT integrates first-order reasoning into CDCL directly. As in CDCL, MCSAT alternates between search and conflict analysis. In the search phase, MCSAT assigns values to first-order variables and propagates unit consequences of these assignments. If a conflict occurs during search, e.g., when the domain of a first-order variable is empty, MCSAT enters conflict analysis and learns an explanation, which is a symbolic representation of what was wrong with the assignments causing the conflict. As in CDCL, the learned clause triggers backtracking from which search can resume. Decision procedures based on MCSAT have demonstrated strong performance in theories such as non-linear real [7] and integer arithmetic [15]. These theories are relatively well-behaved and provide features such as quantifier elimination and interpolation—the building blocks of conflict resolution in MCSAT.

We describe an MCSAT decision procedure for the theory of bitvectors (\mathcal{BV}) . In contrast to arithmetic, the complexity of features that \mathcal{BV} offers in terms of syntax and semantics, combined with the lack of word-level interpolation and quantifier elimination, makes the development of \mathcal{BV} decision procedures (MCSAT or not) very difficult. The state-of-the art \mathcal{BV} decision procedures are all based on a "preprocess and bitblast" pipeline [10,18,20]: they reduce the \mathcal{BV} problems to a pure SAT problem by reducing the word-level semantics to bitlevel semantics. Exceptions to the bit-blasting approach do exist, such as [3,13] and the MCSAT approach of [22], but these methods generally do not perform as well as bitblasting, except on a small classes of crafted examples.

An MCSAT decision procedure must provide two theory-specific reasoning mechanisms.

First, for each variable, the procedure must maintain a set of values that are feasible for that variable. This set is updated during the search. It is used to propagate variable values and to detect a conflict when the set becomes empty. Finding a suitable representation for domains is a key step in integrating a theory into MCSAT. We represent variable domains with Binary Decision Diagrams (BDDs) [4]. BDDs can represent any set of bitvector values. By being canonical, they offer a simple mechanism to detect when a domain becomes a singleton—in which case MCSAT can perform a theory propagation—and when a domain becomes empty—in which case MCSAT enters conflict analysis. In short, BDDs offer a generic mechanism for proposing and propagating values, and for detecting conflicts. In contrast, previous work by [22] represents bitvector domains using *intervals* and *patterns*, which cannot represent every set of bitvector values precisely; they over-approximate the domains.

Second, once a conflict has been detected, the procedure must construct a symbolic explanation of the conflict. This explanation must rule out the partial assignment that caused the conflict, but it is desirable for explanations to generalize and rule out larger parts of the search space. For this purpose, previous work [22] relied on incomplete abstraction techniques (replace a value by an interval; extend a value into a larger set by leaving some bits unassigned). Instead of aiming for a uniforms, generic explanation mechanism, we take a modular approach. We develop efficient word-level explanation procedures for two useful fragments of \mathcal{BV} . Our first fragment includes bitvector equalities, extractions, and concatenations where word-level explanations can be constructed through model-based variants of classic equality reasoning techniques (e.g., [3, 6, 8]). Our second fragment is a subset of linear arithmetic where explanations are constructed by interval reasoning in modular arithmetic. When conflicts do not fit into either fragment, we build an explanation by bitblasting and extracting an unsat core. Although this fallback produces theory lemmas expressed at the bitlevel, it is used only as a last resort. In addition, this bitblasting-based procedure is local and limited to constraints that are relevant to the current conflict; we do not apply bitblasting to the full problem.

Section 2, is an overview of MCSAT. It also presents the BDD approach and general considerations for conflict explanation. Section 3 describes our interpolation algorithm for equality with concatenation and extraction. Section 4 presents our interpolation method for a fragment of linear bitvector arithmetic. Section 5 presents the normalization technique we apply to conflicts in the hope of expressing them in that bitvector arithmetic fragment. Our approach is implemented in the Yices 2 solver [9]. We present an evaluation of the approach in Section 6.¹

 $^{^1}$ This paper extends preliminary results presented at the SMT workshop [11,12] and includes a full implementation and experimental evaluation.

2 A General Scheme for Bitvectors

By \mathcal{BV} , we denote the theory of quantifier-free fixed-sized bitvectors. (It is also known as QF_BV in SMT-LIB.) A model of a \mathcal{BV} formula Φ is an assignment that gives a bitvector value to all bitvector variables (and a Boolean value to all Boolean variables) of Φ , in such a way that Φ evaluates to true, under the standard interpretation of Boolean and bitvector symbols.

The theory includes many operators but we will use only a few of them. We write |u| for the bitwidth of u; $t \circ u$ is the concatenation of bitvectors t and u; $<^{u}$, \leq^{u} denote unsigned comparisons, and $<^{s}$, \leq^{s} denote signed comparisons. In all such comparisons we assume that both operands have the same number of bits. If u is a bitvector of n bits, and l and h are two integer indices such that $0 \leq l < h \leq n$, then u[h:l], extracts h - l bits of u, namely the bits at indices between l and h - 1 (included). We write u[:l] and u[h:] as abbreviations for u[n:l] and u[h:0], respectively. Our convention is to have bitvector indices start from the right-hand side, so that bit 0 is the right-most bit and 0011[2:] is 11. We also denote a single-bit extraction by u[l]; this is the same as u[l + 1:l].

We use usual notations for bitvector arithmetic, which coincides with arithmetic modulo 2^w where w is the bitwidth. We sometimes use integer constants e.g., 0, 1, -1 for bitvectors when the bitwidth is clear.

2.1 MCSAT Overview

MCSAT searches for a model by building a partial assignment—maintained in a trail—and extends the concepts of unit propagation and consistency to firstorder terms and literals [7, 15, 16]. Reasoning is implemented by theory-specific plugins, each of which has a partial view of the trail. In the case of \mathcal{BV} , the bitvector plugin sees the following information: an assignment \mathcal{M} of the form $x_1 \mapsto v_1, \ldots, x_n \mapsto v_n$ that gives values to bitvector variables, and a set of bitvector literals L_1, \ldots, L_t that must be true in the current trail. For the bitvector plugin, the trail is evaluation consistent if none of the literals L_i evaluates to false under \mathcal{M} ; either L_i is true or some variable of L_i has no value in \mathcal{M} . A related property is unit (in)consistency: We say that literal L_i is unit in y if y is the only unassigned variable of L_i . A trail is unit inconsistent if there is such a y and a subset $\{C_1, \ldots, C_m\}$ of $\{L_1, \ldots, L_t\}$, such that every C_j is unit in y and the formula $\exists y(C_1 \wedge \cdots \wedge C_m)$ evaluates to false under \mathcal{M} . In such a case, y is called a conflict variable and C_1, \ldots, C_m are called conflict literals.

When such a conflict is detected, the current assignment \mathcal{M} cannot be extended to a full model; some values assigned to x_1, \ldots, x_n must be revised. As in CDCL, MCSAT backtracks and updates the current assignment by learning a new clause that explains the conflict. This new clause must not contain other variables than x_1, \ldots, x_n and it must rule out the current assignment. For some theories, this *conflict explanation* can be built by quantifier elimination. More generally, we can build an explanation from an *interpolant*.

Definition 1 (Interpolant). A clause I is an interpolant for $\{C_1, \ldots, C_n\}$ at \mathcal{M} , if (1) $C_1 \wedge \ldots \wedge C_n \Rightarrow I$ is valid (in \mathcal{BV}), (2) I only contains variables x_1, \ldots, x_n , and (3) I evaluates to false in \mathcal{M} .

Given an interpolant I, the conflict explanation is just the clause $C_1 \land \ldots \land C_n \Rightarrow I$. Our main goal is constructing interpolants in \mathcal{BV} .

2.2 BDD Representation

To detect conflicts, we must keep track of the set of feasible values for every unassigned variable y. These sets are frequently updated during search so an efficient representation is critical. The following operations must be performed efficiently:

- updating the set when a new constraint becomes unit in y,
- detecting when the set becomes empty,
- $-\,$ selecting an value from the set.

For \mathcal{BV} , Zeljić et al. [22] represent sets of feasible values using both intervals and bit patterns. For example, the set defined by the interval [0000, 0011] and the pattern ???1 is the pair {0001, 0011} (i.e., all bitvectors in the interval whose low-order bit is 1). This representation is lightweight and efficient but it is not precise. Some sets are not representable exactly. We use Binary Decision Diagrams (BDD) [4] over the bits of y. The major advantage is that BDDs can encode exactly any set of values for y. There is a risk that the BDD representation explode but this risk is reduced in our context since we build BDDs for a single variable (and most variables do not have too many bits).

Updating sets of values amounts to computing the conjunction of BDDs (i.e., set intersection). Detecting that the set is empty is checking whether the BDD is false, and selecting a value in the set is just a top-down traversal of the BDD data structure. All these operations are efficiently implemented on BDDs.

2.3 Baseline Conflict Explanation

Given conflict as describe previously, the clause $(x_1 \neq v_1) \lor \cdots \lor (x_n \neq v_n)$ satisfies the requirements of Definition 1, which gives the following trivial conflict clause:

 $C_1 \wedge \dots \wedge C_m \Rightarrow (x_1 \not\simeq v_1) \vee \dots \vee (x_n \not\simeq v_n)$

This clause eliminates only the current \mathcal{M} . We seek to generalize the model to rule out bigger parts of the search space. A first improvement is replacing the constraints by a *core* \mathcal{C} , that is, a minimal subset of $\{C_1, \ldots, C_n\}$ that evaluates to false in \mathcal{M}^2 .

To produce the interpolant I, we can bit-blast the constraints C_1, \ldots, C_m and solve the resulting SAT problem *under the assumptions* that each bit of x_1, \ldots, x_n is true or false as indicated by the values v_1, \ldots, v_n . Since the SAT problem encodes a conflict, the SAT solver will return am *unsat core*, from which we can extract bits of v_1, \ldots, v_n that contribute to unsatisfiability. This generalizes \mathcal{M} by leaving some bits unassigned, as in [22].

² In our implementation, we construct C using the QuickXplain algorithm [17].

This method is general. It works whatever the constraints C_1, \ldots, C_m , so we use it as a default procedure. The bit-blasting step focuses on constraints that are unit in y, which typically leads to a much smaller SAT problem than bitblasting the whole problem from the start. However, the bit-blasting approach can still be costly and it may produce weak explanations.

Example 1. Consider the constraints $\{x_1 \neq x_2, x_1 \simeq y, x_2 \simeq y\}$ and the assignment $x_1 \mapsto 1001, x_2 \mapsto 0101$. The bit-blasting approach might produce explanation $(x_1 \simeq y \land x_2 \simeq y) \Rightarrow (x_1[3] \Rightarrow x_2[3])$. After backtracking, we might similarly learn that $(x_2[3] \Rightarrow x_1[3])$. In this way, it will take eight iterations to learn enough information to represent the high-level explanation:

$$(x_1 \simeq y \land x_2 \simeq y) \Rightarrow x_1 \simeq x_2$$
.

A procedure that can produce $(x_1 \simeq x_2)$ directly is much more efficient.

3 Equality, Concatenation, Extraction

Our first specialized interpolation mechanism applies when constraints $C = \{C_1, \ldots, C_m\}$ belong to the following grammar:

Constraints $C ::= t \simeq t \mid t \not\simeq t$ Terms $t ::= e \mid y[h:l] \mid t \circ t$

where e ranges over any bitvector expressions such that $y \notin \mathsf{fv}(e)$. Without loss of generality, we can assume that \mathcal{C} is a core. We split \mathcal{C} into a set of equalities $E = \{a_i \simeq b_i\}_{i \in \mathfrak{C}}$ and a set of disequalities $D = \{a_i \not\simeq b_i\}_{i \in \mathfrak{D}}$.

Slicing. Our first step rewrites C into an equivalent *sliced* form. This computes the *coarsest-base slicing* [3,6] of equalities and disequalities in C. The goal of this rewriting step is to split the variables into slices that can be treated as independent terms. The terms in coarsest-base slicing are either of the form y[h:l] (slices), or are *evaluable terms* e with $y \notin fv(e)$.

Example 2. Consider the constraints $E = \{x_1[4:0] \simeq x_1[8:4], y[6:2] \simeq y[4:0]\}$ and $\{y[4:0] \not\simeq x_1[8:4]\}$ over variables y of length 6, and x_1 of length 8. We cannot treat y[6:2] and y[4:0] as independent terms because they overlap. To break the overlap, we introduce slices: y[6:4], y[4:2], and y[2:0]. Equality $y[6:2] \simeq y[4:0]$ is rewritten to $(y[6:4] \simeq y[4:2]) \land (y[4:2] \simeq y[2:0])$. Disequality $y[4:0] \not\simeq x_1[8:4]$ is rewritten to $(y[4:2] \not\simeq x_1[8:6]) \lor (y[2:0] \not\simeq x_1[6:4])$. The final result is

$$\begin{split} E_s &= \{ x_1[4:2] \simeq x_1[8:6] \ , \ x_1[2:0] \simeq x_1[6:4] \ , \ y[6:4] \simeq y[4:2] \ , \ y[4:2] \simeq y[2:0] \ \} \ , \\ D_s &= \{ \ (y[4:2] \not\simeq x_1[8:6]) \lor (y[2:0] \not\simeq x_1[6:4]) \ \}. \end{split}$$

Explanations. After slicing, we obtain a set E_s of equalities and a set D_s that contains disjunctions of disequalities. We can treat each slice as a separate variable, so the problem lies within the theory of equality on a *finite domain*.

We first analyze the conflict with equality reasoning against the model, as shown in Algorithm 1. We construct the E-graph \mathcal{G} from E_s [8], while also taking into account the partial model \mathcal{M} that triggered the conflict. The model

Algorithm 1 E-graph with value management

1:	function E_GRAPH (E_s, \mathcal{M})	
2:	Initialize(\mathcal{G})	\triangleright each evaluable term or slice is its own component
3:	for $t_1 \simeq t_2 \in E_s$ do	
4:	$t_1' \leftarrow \operatorname{REP}(t_1, \mathcal{G})$	\triangleright get representative for t_1 's component
5:	$t'_2 \leftarrow \operatorname{REP}(t_2, \mathcal{G})$	\triangleright get representative for t_2 's component
6:	if $y \notin fv(t_1')$ and $y \notin fv$	$v(t'_2)$ and $\llbracket t'_1 \rrbracket_{\mathcal{M}} \neq \llbracket t'_2 \rrbracket_{\mathcal{M}}$ then
7:	$raise_conflict(E \Rightarrow f$	$t'_1 \simeq t'_2) \qquad \qquad \triangleright D $ must be empty
8:	$t_3 \leftarrow \text{SELECT}(t'_1, t'_2)$	\triangleright select representative for merged component
9:	$\mathcal{G} \leftarrow \text{MERGE}(t_1, t_2, t_3, \mathcal{G})$) \triangleright merge the components with representative t_3
10:	$\mathbf{return}\;\mathcal{G}$	

can evaluate terms e such that $y \notin fv(e)$ to values $\llbracket e \rrbracket_{\mathcal{M}}$, and those can be the source of the conflict. To use the model for evaluating terms, we maintain two invariants during E-graph construction:

- 1. If a component contains an evaluable term c, then the representative of that component is evaluable.
- 2. Two evaluable terms c_1 and c_2 in the same component must evaluate to the same value, otherwise this is the source of the conflict.

The E-graph construction can detect and explain basic conflicts between the equalities in E and the current assignment.

Example 3. Let r_1, r_2, r_3 be bit ranges of the same width. Let E be such that $E_s = \{x_1[r_1] \simeq y[r_3], x_2[r_2] \simeq y[r_3]\}, \text{ and let } D = \emptyset. \text{ Consider the model } \mathcal{M} :=$ $x_1 \mapsto 0 \dots 0, x_2 \mapsto 1 \dots 1$. Then, E GRAPH (E_s, \mathcal{M}) produces the conflict clause $E \Rightarrow x_1[r_1] \simeq x_2[r_2].$

If the E-graph construction does not raise a conflict, then \mathcal{M} is compatible with the equalities in E_s . Since C conflicts with \mathcal{M} , the conflict explanation must involves D_s . To obtain an explanation, we decompose each disjunct $C \in D_s$ into $(C_{E_s} \lor C_{\mathcal{M}} \lor C_{\mathsf{interface}} \lor C_{\mathsf{free}})$ as follows.

- $-C_{E_{e}}$ contains disequalities $t_1 \not\simeq t_2$ such that t_1 and t_2 have the same E-graph representatives; such disequalities are false because of the equalities in E_s .
- $C_{\mathcal{M}}$ contains disequalities $t_1 \not\simeq t_2$ such that t_1 and t_2 have distinct representatives t'_1 and t'_2 with $\llbracket t'_1 \rrbracket_{\mathcal{M}} = \llbracket t'_2 \rrbracket_{\mathcal{M}}$; these are false because of \mathcal{M} .
- $C_{\text{interface}}$ contains disequalities $t_1 \not\simeq t_2$ such that t_1 and t_2 have distinct representatives t'_1 and t'_2 , t'_1 is evaluable and t'_2 is a slice; we can still satisfy $t_1 \not\simeq t_2$ by picking a good value for y; we say t'_1 is an *interface term*.
- C_{free} contains disequalities $t_1 \not\simeq t_2$ such that t_1 and t_2 have distinct slices as representatives; we can still satisfy $t_1 \not\simeq t_2$ by picking a good value for y.

The disjuncts in D_s take part in the conflict either when (i) one of the clauses in D_s is false because $C_{\text{interface}}$ and C_{free} are both empty; or (ii) the finite domains are too small to satisfy the disequalities in $C_{\text{interface}}$ and C_{free} , given the values assigned in \mathcal{M} . In either case, we can produce a conflict explanation with Algorithm 2.

Algorithm 2 Disequality conflict

1:	function DIS_CO	NFLICT $(D_{\varepsilon}$	$_{s},\mathcal{M},\mathcal{G})$		
2:	$S \gets \emptyset$			\triangleright ,	where we collect interface terms
3:	$C_0 \leftarrow \emptyset$	$\triangleright w$	where we c	ollect the dis	sequalities that evaluate to false
4:	for $C \in D_s$ de)			
5:	$C_{\mathcal{M}}^{rep} \leftarrow \bigvee \{$	$\operatorname{REP}(t_1,\mathcal{G})$	$\not\simeq \operatorname{REP}(t_2, q)$	$\mathcal{G}) \mid (t_1 \not\simeq t_2)$	$\in C_{\mathcal{M}}$
6:	if is_empt	$\operatorname{TY}(C_{interface})$) and IS_I	EMPTY (C_{free})	then
7:	raisec	$onflict(E \land$	$D \Rightarrow C_{\mathcal{M}}^{rep}$)	
8:	else				
9:	$C_0 \leftarrow C$	$C_0 \vee C_{\mathcal{M}}^{rep}$	\triangleright we colle	ect the diseq	ualities made false in the model
10:	for $t_1 \not \ni$	$t_2 \in C_{inter}$	$_{\sf face}$ with y	$\not \in fv(\operatorname{REP}(t_1$	$,\mathcal{G}))$ do
11:	$S \leftarrow$	- $S \cup \{\text{Rep}$	$(t_1,\mathcal{G})\}$		\triangleright we collect the interface term
12:	$C_{\neq} \leftarrow \bigvee \{t_1 \simeq$	$t_2 \mid \llbracket t_1 \rrbracket_{\mathcal{M}}$	$\neq \llbracket t_2 \rrbracket_{\mathcal{M}},$	$t_1, t_2 \in S\}$	
13:	$C_{=} \leftarrow \bigvee \{t_1 \not\simeq$	$t_2 \mid \llbracket t_1 \rrbracket_{\mathcal{M}}$	$= \llbracket t_2 \rrbracket_{\mathcal{M}},$	$t_1 \neq t_2, t_1, t_2$	$t_2 \in S\}$
14:	return $E \wedge D$	$\Rightarrow C_0 \lor C$	$\vec{C}_{\neq} \vee \vec{C}_{=}$		-

In a type (i) conflict, the algorithm produces an interpolant $C_{\mathcal{M}}^{\mathsf{rep}}$ that is derived from a single element of D_s . Because we assume that \mathcal{C} is a core, a type (i) conflict can happen only if D_s is a singleton. Here is how the algorithm behaves on such a conflict:

Example 4. Let r_1 and r_2 be bit ranges of the same length, let r_3 , r_4 , r_5 be bit ranges of the same length. Assume E_s contains

 $\{ x_1[r_1] \simeq y[r_1] , x_2[r_2] \simeq y[r_2] , y[r_3] \simeq y[r_5] , y[r_4] \simeq y[r_5] \},$ an assume D_s is the singleton $\{ (y[r_1] \not\simeq y[r_2] \lor y[r_3] \not\simeq y[r_4]) \}$. Let \mathcal{M} map x_1 and x_2 to $0 \dots 0$ and assume $y[r_5]$ is the E-graph representative for component

$$\{ y[r_3], y[r_4], y[r_5] \}.$$

The unique clause of D_s contains two disequalities:

- The first one, $y[r_1] \not\simeq y[r_2]$, belongs to $C_{\mathcal{M}}$ because the representatives of $y[r_1]$ and $y[r_2]$, namely $x_1[r_1]$ and $x_2[r_2]$, both evaluate to $0 \dots 0$.
- The second one, $y[r_3] \not\simeq y[r_4]$, belongs to C_{E_s} because the representatives of $y[r_3]$ and $y[r_4]$ are both $y[r_5]$,

As $C_{\text{interface}}$ and C_{free} are empty, Algorithm 2 outputs $E \wedge D \Rightarrow x_1[r_1] \not\simeq x_2[r_2]$.

For a conflict of type (ii), the equalities and disequalities that hold in \mathcal{M} between the interface terms make the slices of y require more values than there exist. So the produced conflict clause includes (the negation of) all such equalities and disequalities. An example can be given as follows:

Example 5. Assume E (and then E_s) is empty and assume D_s is

{ $x_2[0] \neq x_2[1] \lor y[0] \neq y[1]$, $x_1[0] \neq y[0]$, $x_1[1] \neq y[1]$ }

- Let \mathcal{M} map x_1 and x_2 to 00. Then DIS_CONFLICT $(D_s, \mathcal{M}, \mathcal{G})$ behaves as follows: - In the first clause, call it C, the first disequality is in $C_{\mathcal{M}}$, as the two sides
 - are in different components but evaluate to the same value; so C_0 becomes { $x_2[0] \neq x_2[1]$ }; the second disequality features two slices and is thus in C_{free} ; The clause is potentially satisfiable and we move to the next clause.

- The second clause contains a single disequality that cannot be evaluated (since y[0] is not evaluable in \mathcal{M}). Term $x_1[0]$ is added to S. The clause is potentially satisfiable so we move to the next clause.
- The third clause of D_s is similar. It contains a single disequality that cannot be evaluated. The interface term $x_1[1]$ is added to S.

Since all clauses of D_s have been processed, the conflict is of type (ii). Indeed, y[0] must be different from 0 because of the second clause, y[1] must also be different from 0 because of the third clause, but y[0] and y[1] must be different from each other because of the first clause. Since both y[0] and y[1] have only one bit, there are only two possible values for these two slices, so the three constrains are in conflict. Algorithm 2 produces the conflict clause

 $D \Rightarrow (x_2[0] \not\simeq x_2[1] \lor x_1[0] \not\simeq x_1[1]).$

The disequality $x_2[0] \not\simeq x_2[1]$ is necessary because, if it were true in \mathcal{M} , we would not have to satisfy $y[0] \neq y[1]$ and therefore $y \leftarrow 11$ would work. Disequality $x_1[0] \not\simeq x_1[1]$ is also necessary because, if it were true in \mathcal{M} , say with $x_1 \leftarrow 01$ (resp. $x_1 \leftarrow 10$), then $y \leftarrow 11$ (resp. $y \leftarrow 00$) would work.

Correctness of the method relies on the following lemma.

Lemma 1 (The produced clauses are interpolants).

- If Algorithm 1 reaches line 7, t'₁ ≃ t'₂ is an interpolant for E ∧ D at M.
 If Algorithm 2 reaches line 7, C^{rep}_M is an interpolant for E ∧ D at M.
- 3. If it reaches line 14, $C_0 \vee C_{\neq} \vee C_{=}$ is an interpolant for $E \wedge D$ at \mathcal{M} .

Proof. See Appendix B.

A Linear Arithmetic Fragment 4

Our second specialized explanation mechanism applies when constraints \mathcal{C} = $\{C_1,\ldots,C_m\}$ belong to the following grammar:

Constraints $C ::= a \mid \neg a$ $a ::= e_1 + t \leq^{\mathsf{u}} e_2 + t \mid e_1 \leq^{\mathsf{u}} e_2 + t \mid e_1 + t \leq^{\mathsf{u}} e_2$ Atoms $t ::= y[h:] \mid t[:l] \mid t + e_1 \mid -t \mid 0_k \circ t \mid t \circ 0_k$ Terms

where e_1 and e_2 range over *evaluable* bitvector terms (i.e., $y \notin fv(e_1) \cup fv(e_2)$), and 0_k is 0 on k bits. We can represent variable y as the term y[|y|:]. This fragment of bitvector arithmetic is *linear* in y and there can be only one occurrence of yin terms. Constraints in Section 3 are then outside this fragment in general.

Let \mathcal{A} be $\exists y(C_1 \wedge \cdots \wedge C_m)$, and \mathcal{M} be the partial model involved in the conflict. The explanation for \mathcal{A} at model \mathcal{M} is (roughly) produced as follows:

- 1. For each constraint C_i , $1 \le i \le m$, featuring a (unique) lower-bits extract $y[w_i:]$, we compute a *condition* literal c_i satisfied by \mathcal{M} and a *forbidden* interval I_i of the form $[l_i; u_i]$, where l_i and u_i are evaluable terms, such that $c_i \Rightarrow (C_i \Leftrightarrow (y[w_i:] \notin I_i))$ is valid.
- 2. We group the resulting intervals $(I_i)_{1 \le i \le m}$ according to their bitwidth: if \mathcal{S}_w is the set of intervals forbidding values for $y[w:], 1 \leq w \leq |y|$, then under condition $\bigwedge_{i=1}^{m} c_i$ formula \mathcal{A} is equivalent to $\exists y (\bigwedge_{w=1}^{|y|} (y[w:] \notin \bigcup_{I \in \mathcal{S}_w} I)).$

Atom a	Forbidden interval that a (resp. $\neg a$) specifies for t			
Atom a	I_a	$I_{\neg a}$	Condition $c_a/c_{\neg a}$	
$e_1 \perp t \leq^{u} e_2 \perp t$	$[-e_2; -e_1[$	$[-e_1; -e_2[$	$e_1 \not\simeq e_2$	1
$c_1 + \iota \leq c_2 + \iota$	[0;0[full	$e_1 \simeq e_2$	2
$e_1 \leq^{u} e_2 \perp t$	$[-e_2; e_1 - e_2[$	$[e_1 - e_2; - e_2[$	$e_1 \not\simeq 0$	3
$e_1 \geq e_2 + \iota$	[0;0[full	$e_1 \simeq 0$	4
$e_1 \perp t \leq^{u} e_2$	$[e_2 - e_1 + 1; -e_1[$	$[-e_1; e_2 - e_1 + 1[$	$e_2 \not\simeq -1$	5
$ c_1 + c_2 + c_2$	[0;0[full	$e_2 \simeq -1$	6

Table 1: Creating the forbidden intervals

3. We produce a series of constraints d_1, \ldots, d_p that are satisfied by \mathcal{M} and that are inconsistent with $\bigwedge_{w=1}^{|y|} (y[w:] \notin \bigcup_{I \in \mathcal{S}_w} I)$. The interpolant will be $(\bigwedge_{i=1}^m c_i \land \bigwedge_{i=1}^p d_i) \Rightarrow \bot$: it is implied by \mathcal{A} , and evaluates to false in \mathcal{M} .

4.1 Forbidden Intervals

An *interval* takes the form [l; u], where the lower bound l and upper bound u are evaluable terms of some bitwidth w, with l included and u excluded. The notion of interval used here is considered modulo 2^w . We do not require $l \leq^u u$ so an interval may "wrap around" in $\mathbb{Z}/2^w\mathbb{Z}$. For instance, the interval [1111;0001] contains two bitvector values, namely, 1111 and 0000. If l and u evaluate to the same value, then we consider [l; u] to be empty (as opposed to the full domain, which we denote by full^w or just full). Notation $t \in I$ stands for atom \top if I is full and atom $t-l <^u u-l$ if I is [l; u].

Given a constraint C with unevaluable term t, we produce an interval I_C of forbidden values for t according to the rules of Table 1. A side condition c_C identifies when the lower and upper bounds would coincide, in which case the interval produced is either empty or full. For every row of the table, the formula $c_C \Rightarrow (C \Leftrightarrow t \notin I_c)$ is valid in \mathcal{BV} . Given a partial model \mathcal{M} , we convert C to such an interval by selecting the row where $[\![c_C]\!]_{\mathcal{M}} = \mathsf{true}$.

Example 6.

- 6.1 Assume C_1 is literal $\neg(x_1 \leq^{\mathsf{u}} y)$ and $\mathcal{M} = \{x_1 \mapsto 0000\}$. Then line 4 of Table 1 applies, and I_{C_1} is interval full with condition $x_1 \simeq 0$.
- 6.2 Assume C_1 is $\neg (y \simeq x_1)$, C_2 is $(x_1 \le^{\mathsf{u}} x_3 + y)$, C_3 is $\neg (y x_2 \le^{\mathsf{u}} x_3 + y)$, and $\mathcal{M} = \{x_1 \mapsto 1100, x_2 \mapsto 1101, x_3 \mapsto 0000\}$. Then by line 5, $I_{C_1} = [x_1; x_1 + 1[$ with trivial condition $(0 \not\simeq -1)$, by line 3, $I_{C_2} = [-x_3; x_1 - x_3[$ with condition $(x_1 \not\simeq 0)$, and by line 1, $I_{C_3} = [x_2; -x_3[$ with condition $(-x_2 \not\simeq x_3)$.

By our assumptions, the term t contains a unique subterm of the form y[w:]. We transform I_C into an interval of forbidden values for y[w:] by applying procedure forbid (t, I_C, c_C) shown in Figure 1. The procedure proceeds by recursion on t. Assuming c_C is true in \mathcal{M} , then forbid (t, I_C, c_C) returns a triple (w, I', c')such that $[c']_{\mathcal{M}}$ is true, and $c' \Rightarrow c_C$ and $c' \Rightarrow (t \notin I_C \Leftrightarrow y[w:] \notin I')$ are valid.

${f forbid}(t, [t]) \ {f forbid}(t, [t]) \ {f forbid}(t, [t]) \ {f forbid}(y[w:], I] \ {f forbid}(t[:w], [t]) \ {f forbid}(t[:w], [t]) \ {f forbid}(t+c, [t]) \ {f fo$	$\begin{array}{l} 0;0[,c):=(1,[0;0[,c)\\ {\sf ull},c):=(1,{\sf full},c)\\ r,c):=(w,I,c)\\ l;u[,c):={\sf forbid}(t,[l\circ0_u\\ l;u[,c):={\sf forbid}(t,[l-c;\\ l;u[,c):={\sf forbid}(t,[1-u\\ \end{array}) \end{array}$	forbid $(0_k \circ t, I, c)$ forbid $(t \circ 0_k, I, c)$ when u $y; u \circ 0_w[, c)$ u-c[, c) (1-l[, c)	$:= \operatorname{utrim}_k(t, I, c) \\ := \operatorname{dtrim}_k(t, I, c) \\ $
$utrim_k(t,[l;u[,$	$(, c) := \begin{cases} forbid(t, [l'; u'], c.\\ (1, full, c \land c_l \land c_u \land c_l \land c_l$	$ \begin{array}{l} \wedge c_l \wedge c_u \) \\ c') & \text{if } [l'; u'[\text{ is } \\ \wedge \neg c') & \text{if } [l'; u'[\text{ is } [\end{array}] \end{array} $	if $[l'; u']$ is not $[0; 0]$ [0; 0] and $[c']_{\mathcal{M}}$ is true 0; 0[and $[c']_{\mathcal{M}}$ is false
where l' is $l[w:]$ u' is $u[w:a_l is l[:w]$	(resp. 0_w) and c_l is a_l (resp. $[0]$) (resp. 0_w) and c_u is a_u (resp. $2 = 0_k$, a_u is $u[:w] \simeq 0_k$,	sp. $\neg a_l$) if $\llbracket a_l \rrbracket$ sp. $\neg a_u$) if $\llbracket a_u \rrbracket$ c' is $(0_{k+w} \in [l];$	
$dtrim_k(t, [l; u[,$	$, c) := \begin{cases} forbid(t, [l'; u'[, p]{(1, full, c \land c_l \land c_u \land c_u}) \\ (1, [0; 0[, c \land c_l \land c_u]) \\ (1, [0; 0[, c \land c_l \land c_u]) \end{cases}$	$ \begin{array}{c} & \wedge c_l \wedge c_u) \\ c') & \text{if } [l'; u'[\text{ is } \\ \wedge \neg c') & \text{if } [l'; u'[\text{ is } [\end{array}] \end{array} $	if $[l'; u']$ is not $[0; 0[$ [0; 0] and $[c']_{\mathcal{M}}$ is true [0; 0] and $[c']_{\mathcal{M}}$ is false
where l' is $l[:k]$ u' is $u[:k]a_l is l[k:]$	(resp. $l[:k]+1$) and c_l is a_l] (resp. $u[:k]+1$) and c_u is $a_l \simeq 0_k$, a_u is $u[k:] \simeq 0_k$,	(resp. $\neg a_l$) if $\llbracket a_l \rrbracket$ $_u$ (resp. $\neg a_u$) if $\llbracket a_u \rrbracket$ c' is $(u' \circ 0_k \in \llbracket l \rrbracket$	$ \begin{array}{ll} \mathcal{M} \text{ is true (resp. false),} \\ \mathcal{M} \text{ is true (resp. false),}$

Fig. 1: Transforming the forbidden intervals

Executing forbid $(t_{C_i}, I_{C_i}, c_{C_i})$ for all constraints C_i produces a family of triples $(w_i, I'_i, c'_i)_{1 \le i \le m}$ such that, for each i, formula $c'_i \Rightarrow (C_i \Leftrightarrow (y[w_i:] \notin I'_i))$ is valid and $[c'_i]_{\mathcal{M}}$ is true.

4.2 Interpolant

First, assume that one of the triples obtained above is of the form (w, full, c) , coming from constraint C. As the interval forbids the full domain of values for y[w:], we produce conflict clause $C \wedge c \Rightarrow \bot$. This formula is an interpolant of \mathcal{A} at \mathcal{M} . This is illustrated in Example 7.1.

Example 7.

- 7.1 In Example 6.1 where C_1 is literal $\neg(x_1 \leq^{\mathsf{u}} y)$ and $\mathcal{M} = \{x_1 \mapsto 0000\}$, the interpolant for $\exists y \ \neg(x_1 \leq^{\mathsf{u}} y)$ at \mathcal{M} is $(x_1 \simeq 0) \Rightarrow \bot$.
- 7.2 Example 6.2 does not contain a full interval. Model \mathcal{M} satisfies the three conditions $c_1 := (0 \not\simeq -1), c_2 := (x_1 \not\simeq 0)$ and $c_3 := (-x_2 \not\simeq x_3)$, and the intervals $I_1 = [x_1; x_1 + 1[, I_2 = [-x_3; x_1 x_3[, \text{and } I_3 = [x_2; -x_3[, \text{evaluate to } [I_1]]_{\mathcal{M}} = [1100; 1101[, [I_2]]_{\mathcal{M}} = [0000; 1100[, \text{ and } [I_3]]_{\mathcal{M}} = [1101; 0000[, \text{ respectively. Note how } \bigcup_{i=1}^3 [I_i]_{\mathcal{M}}$ is the full domain.

Assume now that none of the intervals are full (as in Example 7.2). We group the triples (w, I, c) into different *layers* characterized by their bitwidths w: I will henceforth be called a *w*-interval, restricting the feasible values for y[w:], and c_I denotes its associated condition in the triple. Ordering the groups of intervals by

bitwidth	w_1	>	w_2	$> \cdots >$	$\cdot \qquad w_j$
Interval layer	w_1 -intervals		w_2 -intervals		w_j -intervals
	$S_1 = \{I_{1.1}, I_{1.2}, \dots$	$\{ S_{2} \}$	$I_2 = \{I_{2.1}, I_{2.2}, \dots$	}	$\mathcal{S}_j = \{I_{j.1}, I_{j.2}, \ldots\}$
Forbidding	a[au, .]		a[an- ·]		a[au .•]
values for	$y[w_1.]$		$y[w_2.]$	• • •	$y[w_j.]$

Fig. 2: Intervals collected from $C_1 \wedge \cdots \wedge C_m$

decreasing bitwidths $w_1 > w_2 > \cdots > w_j$, as shown in Figure 2, S_j denotes the set of produced w_j -intervals. The properties satisfied by the triples entail that

$$\mathcal{A} \land (\bigwedge_{i=1}^{j} \bigwedge_{I \in \mathcal{S}_{i}} c_{I}) \Rightarrow \mathcal{B}$$

is valid, where \mathcal{B} is $\exists y \bigwedge_{i=1}^{j} (y[w_i]] \notin \bigcup_{I \in \mathcal{S}_i} I)$. And formula $(\bigwedge_{i=1}^{j} \bigwedge_{I \in \mathcal{S}_i} c_I) \Rightarrow \mathcal{B}$ is false in \mathcal{M} . To produce an interpolant, we replace \mathcal{B} by a quantifier-free clause.

The simplest case is when there is only one bitwidth $w = w_1$: the fact that \mathcal{B} is falsified by \mathcal{M} means that $\bigcup_{I \in S_1} \llbracket I \rrbracket_{\mathcal{M}}$ is the full domain $\mathbb{Z}/2^w\mathbb{Z}$. Property " $\bigcup_{I \in S_1} I$ is the full domain" is then expressed symbolically as a conjunction of constraints in the bitvector language. To compute them, we first extract a sequence I_1, \ldots, I_q of intervals from the set S_1 , originating from a subset \mathcal{C} of the original constraints $(C_i)_{i=1}^m$, and such that the sequence $\llbracket I_1 \rrbracket_{\mathcal{M}}, \ldots, \llbracket I_q \rrbracket_{\mathcal{M}}$ of concrete intervals leaves no "hole" between an interval of the sequence forms a circular chain of linking intervals. This chain can be produced by a standard coverage extraction algorithm, as shown in Appendix C, Fig. 4. Formula $\mathcal{B} := \exists y(y[w:] \notin \bigcup_{I \in S_1} I)$ is then replaced by $(\bigwedge_{i=1}^q u_i \in I_{i+1}) \Rightarrow \bot$, where u_i is the upper bound of I_i and I_{q+1} is I_1 . Each interval has its upper bound in the next intervals do link up with each other. The conflict clause is then

$$(\mathcal{C} \land (\bigwedge_{i=1}^q c_{I_i}) \land (\bigwedge_{i=1}^q u_i \in I_{i+1})) \Rightarrow \bot$$

Example 8. For Example 7.2, the coverage-extraction algorithm produces the sequence I_1, I_3, I_2 , i.e., $[x_1; x_1+1]$, $[x_2; -x_3[, [-x_3; x_1-x_3[$. The linking constraints are then $d_3 := (x_1+1) \in I_3$, $d_2 := (-x_3) \in I_2$, and $d_1 := (x_1-x_3) \in I_1$, and the interpolant is $d_3 \wedge d_2 \wedge d_1 \Rightarrow \bot$.³

When several bitwidths are involved, the intervals must "complement each other" at different bitwidths so that no value for y is feasible. For a bitwidth w_i , the union of the w_i -intervals in model \mathcal{M} may not necessarily cover the full domain (i.e., $\bigcup_{I \in \mathcal{S}_i} \llbracket I \rrbracket_{\mathcal{M}}$ may be different from $\mathbb{Z}/2^{w_i}\mathbb{Z}$). The coverage can leave "holes", and values in that hole are ruled out by constraints of other bitwidths. To produce the interpolant, we adapt the coverage-extraction algorithm into Algorithm 3, which takes as input the sequence of sets $(\mathcal{S}_1, \ldots, \mathcal{S}_j)$ as described in Figure 2, and produces the interpolant's constraints d_1, \ldots, d_p , collected in set output. The algorithm proceeds in decreasing bitwidth order, starting with

³ We omit c_1, c_2, c_3 here, since they are subsumed by d_1, d_2, d_3 , respectively.

Algorithm 3 Producing the interpolant with multiple bitwidths

1:	function COVER $((S_1, \ldots, S_j), \mathcal{M})$				
2:	$output \leftarrow \emptyset$	⊳ output ini	itialized with	the empty set	of constraints
3:	$longest \leftarrow LONGEST(\mathcal{S}_1, \mathcal{M})$			▷ longest inte	rval identified
4:	$baseline \leftarrow longest.upper$		\triangleright where	e to extend the	coverage from
5:	while \llbracket baseline $\rrbracket_{\mathcal{M}} \notin \llbracket$ longest $\rrbracket_{\mathcal{M}} det$				
6:	if $\exists I \in S_1$, [[baseline]] $\mathcal{M} \in [[I]]_{\mathcal{N}}$	then			
7:	$I \leftarrow \text{FURTHEST}_\text{EXTEND}(base)$	$ne, \mathcal{S}_1, \mathcal{M})$			
8:	$output \leftarrow output \cup \{c_I, basel\}$	$e \in I$ \triangleright as	dding I 's cor	ndition and link	ing constraint
9:	baseline $\leftarrow I.upper$	⊳ updat	ing the base	line for the nex	t interval pick
10:	else \triangleright t	ere is a hole in t	the coverage	of $\mathbb{Z}/2^{w_1}\mathbb{Z}$ by i	intervals in S_1
11:	$next \leftarrow NEXT_COVERED_PO$	${}_{\mathrm{T}}(baseline,\mathcal{S}_1,\mathcal{A})$	1)	\triangleright the hole is	[baseline ; next[
12:	if $[[next]]_{\mathcal{M}} - [[baseline]]_{\mathcal{M}} <$	2^{w_2} then			
13:	$I \leftarrow [next[w_2:]; baseline]$	v2:][▷ it is	s projected c	on w_2 bits and	complemented
14:	$output \leftarrow output \cup \{next\}$	-baseline $<^{u} 2^{w_2}$	$\cup COVER((($	$(\mathcal{S}_2 \cup I), \mathcal{S}_3, \ldots$	$(\mathcal{S}_i), \mathcal{M})$
15:	baseline \leftarrow next	⊳ updat	ing the base	line for the nex	t interval pick
16:	else ⊳ ir	ervals of bitwid	ths $\leq w_2 \mathrm{mv}$	ıst forbid all va	lues for $y[w_2:]$
17:	return COVER $((S_2, \ldots$	$(S_j), \mathcal{M})$		$\triangleright S_1$ w	as not needed
18:	\mathbf{return} output \cup {baseline \in longes	ł	⊳ a	dding final link	ing constraint

 w_1 , and calling itself recursively on smaller bitwidths to cover the holes that the current layer leaves uncovered (termination of that recursion is thus trivial). For every hole that $\bigcup_{I \in S_1} \llbracket I \rrbracket_{\mathcal{M}}$ leaves uncovered, it must determine how intervals of smaller bitwidths can cover it.

Algorithm 3 relies on the following ingredients:

- LONGEST(\mathcal{S}, \mathcal{M}) returns an interval among \mathcal{S} whose concrete version $\llbracket I \rrbracket_{\mathcal{M}}$ has maximal length;
- *I*.upper denotes the upper bound of an interval *I*;
- FURTHEST_EXTEND (a, S, \mathcal{M}) returns an interval $I \in S$ that furthest extends a according to \mathcal{M} (technically, an interval I that \leq^{u} -maximizes $\llbracket I.\mathsf{upper} a \rrbracket_{\mathcal{M}}$ among those intervals I such that $\llbracket a \rrbracket_{\mathcal{M}} \in \llbracket I \rrbracket_{\mathcal{M}}$).
- If no interval in \mathcal{S} covers a in \mathcal{M} , NEXT_COVERED_POINT $(a, \mathcal{S}, \mathcal{M})$ outputs the lower bound l of an interval in \mathcal{S} that \leq^{u} -minimizes $[l-a]_{\mathcal{M}}$.

Algorithm 3 proceeds by successively moving a concrete bitvector value baseline around the circle $\mathbb{Z}/2^{w_1}\mathbb{Z}$. The baseline is moved when a symbolic reason why it is a forbidden value is found, in a while loop that ends when the baseline has gone round the full circle. If there is at least one interval in S_1 that covers baseline in \mathcal{M} (l. 6), the call to FURTHEST_EXTEND(baseline, $\mathcal{S}_1, \mathcal{M}$) succeeds, and output is extended with condition c_I and (baseline $\in I$) (l. 8). If not, a hole has been discovered, whose extent is given by NEXT COVERED POINT(baseline, S_1, M) (l. 11). If the hole is bigger than 2^{w_2} (i.e., $2^{w_2} \leq^{\mathsf{u}} [[\mathsf{next-baseline}]_{\mathcal{M}})$, then the intervals of layers w_2 and smaller must rule out every possible value for $y[w_2]$, and the w_1 -intervals were not needed (l. 17). If on the contrary the hole is smaller (i.e., $[next-baseline]_{\mathcal{M}} <^{u} 2^{w_2}$), then the w_1 -interval [baseline; next[is projected] as a w_2 -interval $I := [baseline[w_2:]; next[w_2:]]$ that needs to be covered by the intervals of bitwidth w_2 and smaller. This is performed by a recursive call on bitwidth w_2 (l. 14); the fact that only hole I needs to be covered by the recursive call, rather than the full domain $\mathbb{Z}/2^{w_2}\mathbb{Z}$, is implemented by adding to \mathcal{S}_2 in the recursive call the complement $[next[w_2:]; baseline[w_2:]]$ of I. The result of the recursive call is added to the output variable, as well as the fact that the hole

$u_1 <^{s} u_2$	$\rightsquigarrow \neg(u_2 \leq^{s} u_1)$	$u_1 \leq^{s} u_2 \rightsquigarrow u_1 + 2^{ u_1 - 1} \leq^{u} u_2 + 2^{ u_2 - 1}$
$u_1 <^{u} u_2$	$\rightsquigarrow \neg(u_2 \leq^{u} u_1)$	$u_1 \simeq u_2 \rightsquigarrow u_1 - u_2 \leq^{u} 0$
u[h:l]	$\rightsquigarrow u[h:][:l]$	$u[:l][h:] \rightsquigarrow u[h+l:][:l]$
$(u_1 \circ u_2)[:l]$	$\rightsquigarrow u_1[:l- u_2] \text{if } u_2 \le l$	$(u_1 \circ u_2)[h:] \rightsquigarrow u_2[h:]$ if $h \le u_2 $
$(u_1 \circ u_2)[:l]$	$\rightsquigarrow u_1 \circ u_2[:l]$ if not	$(u_1 \circ u_2)[h:] \rightsquigarrow u_1[h- u_2 :] \circ u_2$ if not
$2^n \times u$	$\rightsquigarrow u[u -n:] \circ 0_n \qquad (n < u)$	$) (u_1+u_2)[h:] \rightsquigarrow u_1[h:] + u_2[h:]$
\pm -extend _k (u)	$\rightsquigarrow (0_k \circ (u + 2^{ u - 1})) - (0_k \circ 2^{ u - 1}))$	$ (u_1 \times u_2)[h:] \rightsquigarrow u_1[h:] \times u_2[h:]$
$u_1 \circ u_2$	$\rightsquigarrow (u_1 \circ 0_{ u_2 }) + (0_{ u_1 } \circ u_2)$	$(-u)[h:] \rightsquigarrow -u[h:]$

Fig. 3: Rewriting rules

must be small. The final interpolant is $(\bigwedge_{d \in \text{output}} d) \Rightarrow \bot$. An example of run on a variant of Example 6.2 is given in Appendix D.

5 Normalization

As implemented in Yices 2, MCSAT processes a conflict by first computing the conflict core with BDDs, and then normalizing the constraints using the rules of Figure 3. In the figure, u, u_1 and u_2 stand for any bitvector expressions and \pm -extend_k(u) is the sign-extension of u with k bits. The bottom left rule is applied with lower priority than the others (as upper-bits extraction distributes on \circ but not on +) and only if exactly one of $\{u_1, u_2\}$ is evaluable (and not 0). In the implementation, u[|u|:0] is identified with u, \circ is associative, and $+, \times$ are subject to ring normalization. This is helped by the internal (flattened) representation of concatenations and bitvector polynomials in Yices 2. Normalization allows the specialized interpolation procedure to apply at least to the following grammar:⁴

Atoms
$$a ::= e_1 + t \lessdot e_2 + t | e_1 \lessdot e_2 + t | e_1 + t \lessdot e_2 | e_1 \lessdot e_2$$

Terms $t ::= t[h:l] | t + e_1 | - t | e_1 \circ t | t \circ e_1 | \pm \text{-extend}_k(t)$

where $\leq \in \{\leq^{\mathsf{u}}, <^{\mathsf{u}}, \leq^{\mathsf{s}}, <^{\mathsf{s}}, \simeq\}$. Rewriting can often help further, by eliminating occurrences of the conflict variable (thus making more subterms evaluable) and increasing the chances that two unevaluable terms t_1 and t_2 become syntactically equal in an atom $e_1+t_1 \leq e_2+t_2$.⁵ Finally, we cache evaluable terms to avoid recomputing conditions of the form $y \notin \mathsf{fv}(e)$. These conditions are needed to determine whether the specialized procedures apply to a given conflict core.

6 Experiments

To evaluate the effectiveness of the procedure, and the impact of the different modules, we ran the MCSAT solver with different settings on the 41547 QF_BV benchmarks available in the SMT-LIB library [1]. We ran the solver in the following settings: all: the procedures of Sections 3 and 4, with the bitblasting baseline

 $^{^4~}e_1 \lessdot e_2$ is accepted since it either constitutes the interpolant or it can be ignored.

 $^{^5}$ For this reason we normalize evaluable terms.



Fig. 4: Evaluation of the MCSAT solver and the effect of different explainer combinations and propagation. Each curve represents the cumulative number of benchmarks that the solver variant can solve against the cumulative time.

when these do not apply; bb: only the bitblasting baseline; bb+eq: procedure of Section 3 plus the baseline; bb+arith: procedure Section 4 plus the baseline; all-prop is the same as all but with no propagation of bitvector assignments during search. For reference, we also included the version of the Yices 2 MCSAT solver that entered the 2019 SMT competition⁶, marked as smtcomp2019.

We used a three-minute timeout per instance. The results are show in Figure 4. Each curve shows the number of solved benchmarks for each solver variant. The solver combining all explainer modules solves 33241 benchmarks, and the results show that both equality and arithmetic explainers contribute to the effectiveness of the overall solver, individually and combined. The results also show that the eager MCSAT value propagation mechanism introduced in [15] is important for effective solving in practice.

For reference, the $\text{CDCL}(\mathcal{T})$ version of Yices 2 based on bit-blasting can solve 40962 benchmarks with the same timeout. Using an alternative MCSAT approach to bitvector solving, Zeljić et al. reported that their solver could solve 23704 benchmarks from a larger set of 49971 instances with a larger timeout of 1200s [22].⁷ We have not yet managed to build and run Zeljić's solver on our Linux server for direct comparison.

⁶ https://smt-comp.github.io/2019/

⁷ The additional 8424 benchmarks have since been deleted from the SMT-LIB library as duplicates.

7 Discussion and Future Work

The paper presents ongoing work on building an MCSAT solver for the theory of bitvectors. We have presented two main ideas for the treatment of \mathcal{BV} in MCSAT, complementing the approach proposed in [22].

First, by relying on BDDs for representing feasible sets, our design leaves the main search mechanism of MCSAT generic and leaves specific fragmentspecific mechanisms to conflict explanation. The explanation mechanism is selected based on the constraints involved in the conflict. BDDs are also used to minimize the conflicts, which increases the chances that a dedicated explanation mechanism can be applied. BDDs offer a propagation mechanism that differs from those in [22], in that the justification for a propagated assignment is computed lazily, only when it is needed in conflict analysis. Computing the conflict core at that point effectively recovers justification of the propagations.

Second, we propose explanation mechanism for two fragments of the theory: the core fragment of \mathcal{BV} that includes equality, concatenation and extraction; and a fragment of linear arithmetic. Compared to previous work on coarsestbase slicing, such as [3], our work applies the slicing on the conflict constraints only, rather than the whole problem. This should in general make the slices coarser, which we expect to positively impact efficiency. Our work on explaining arithmetic constraints is novel, except for the mechanisms studied in [14] that studied a smaller fragment of arithmetic outside of the context of MCSAT.

We have implemented the overall approach in the Yices 2 SMT solver. Experiments show the overall approach is effective on practical benchmarks, with all the proposed modules adding the the solver performance. MCSAT is not yet competitive with bitblasting, but we are making progress. The main challenge is devising efficient word-level explanation mechanisms that can handle all or a least a large fragment of \mathcal{BV} . Finding high-level interpolants in \mathcal{BV} is still an open problem and our work on MCSAT shows progress for some fragment of the bitvector theory. For MCSAT to truly compete with bitblasting, we will need interpolation methods that cover much larger classes of constraints,

Future work includes relating our approach to the very recent report by Chihani, Bobot, and Bardin [5], which aims at lifting the CDCL mechanisms to the word level of bitvector reasoning, and therefore seems very close to MCSAT. We also plan to explore integrating our MCSAT treatment of bitvectors with other components of SMT-solvers, whether in the context of MCSAT or in different architectures. An approach for this is the recent framework of *Conflict-Driven Satisfiability* (CDSAT) [2], which precisely aims at organizing collaboration between generic theory modules.

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A Differences with previous workshop presentations

The present contribution improves on our previous SMT workshop contributions [11, 12] as follows:

- 1. Both the concatenation-extraction explainer (whose design was described in [11]) and the arithmetic explainer (described in [12]), have seen their scope of application significantly extended by the notion of *evaluable term*. This can be seen by comparing the fragments' grammars with those of [11, 12]. Evaluable terms can feature any operator of the \mathcal{BV} theory, as long as the conflict variable does not appear. The implementation (inexistant at the time of [11]) has significant machinery to detect and handle evaluable terms.
- 2. The arithmetic explainer has been enriched with concatenations and upperbits extractions, which were not even broached in [12]. Regarding extraction, it only addressed lower-bits extraction, and even that was not implemented. Arbitrary extractions, and concatenations, are entirely new, and triggered the design of the algorithm described in Fig. 1.
- 3. The aggressive normalization applied to conflict cores before they are analyzed, presented in Section 5, is also mostly new: only a very limited form was present in [12].
- 4. Finally, no experimental results were described in [11, 12]. In fact, no implementation had been developed regarding the design proposed in [11].

B Correctness of the concatenation-extraction explainer

Lemma 2 (The produced clauses are interpolants).

- 1. If Algorithm 1 reaches line 7, $t'_1 \simeq t'_2$ is an interpolant for $E \wedge D$ at \mathcal{M} .
- 2. If Algorithm 2 reaches line 7, $\hat{C}_{\mathcal{M}}^{\mathsf{rep}}$ is an interpolant for $E \wedge D$ at \mathcal{M} .
- 3. If it reaches line 14, $C_0 \vee C_{\neq} \vee C_{=}$ is an interpolant for $E \wedge D$ at \mathcal{M} .

Proof. The first two parts are straightforward. We prove point **3**.

Free variables.

By construction, C_0 has free variables in \boldsymbol{x} (l. 5, 9). So does S (l.11), and therefore C_{\neq} and $C_{=}$.

- Validity.

We show that $(E \wedge D) \Rightarrow (C_0 \lor C_{\neq} \lor C_{=})$ is valid. Let \mathcal{M}' be a model for x, ysatisfying $E \wedge D$ but not $C_0 \vee C_{\neq} \vee C_{=}$. Since \mathcal{M}' satisfies $E \wedge D$, it satisfies E_s and D_s , so for each component of the E-graph $\mathcal{G}, \mathcal{M}'$ evaluates each term of the component to the same value. And moreover it satisfies each clause C in D_s . Take such a clause C: \mathcal{M}' still evaluates C_{E_s} to false because \mathcal{M}' evaluates each term of a \mathcal{G} -component to the same value. As \mathcal{M}' does not satisfy $C_0 \vee C_{\neq} \vee C_{=}$ it surely does not satisfy C_0 . By construction (l. 5, 9) the disequalities in C_0 are between representatives of disequalities in $C_{\mathcal{M}}$, so \mathcal{M}' surely does not satisfy $C_{\mathcal{M}}$ either. So \mathcal{M}' must satisfy C by satisfying a disequality d_C in $C_{\text{interface}}$ or C_{free} . Moreover \mathcal{M}' does not satisfy $C_{\neq} \vee C_{=}$ and therefore for two interface terms t_1 and t_2 , $[t_1]_{\mathcal{M}'} = [t_2]_{\mathcal{M}'}$ if and only if $[t_1]_{\mathcal{M}} = [t_2]_{\mathcal{M}}$, by construction of C_{\neq} (l. 12) and $C_{=}$ (l. 13). Let t_1, \ldots, t_m be the interface terms, with values v_1, \ldots, v_m in \mathcal{M} and values v'_1, \ldots, v'_m in \mathcal{M}' . Let π be a sort-preserving permutation on all bitvector values that maps v'_i to v_i for $1 \leq i \leq m$. Let us extend \mathcal{M} by assigning to y a value vsuch that for each slice y[r], we have $[\![y[r]]\!]_{\mathcal{M},y\mapsto v} = \pi([\![y[r]]\!]_{\mathcal{M}'})$. We know that $\mathcal{M}, y \mapsto v$ satisfies E_s , and therefore E. We now show that $\mathcal{M}, y \mapsto v$ satisfies D_s , and therefore D, by showing that for each clause C in D_s it satisfies d_C . Let y[r] and t be the representatives of the two sides of d_C . Whether t is a slice of y ($d_C \in C_{\text{free}}$) or is an interface term ($d_C \in C_{\text{interface}}$) we have in both cases $\pi(\llbracket t \rrbracket_{\mathcal{M}'}) = \llbracket t \rrbracket_{\mathcal{M}, y \mapsto v}$. Since \mathcal{M}' satisfies E_s and d_C , we have $[\![y[r]]\!]_{\mathcal{M}'} \neq [\![t]\!]_{\mathcal{M}'}$, and therefore $[\![y[r]]\!]_{\mathcal{M},y\mapsto v} \neq [\![t]\!]_{\mathcal{M},y\mapsto v}$. Since $\mathcal{M}, y \mapsto v$ satisfies E_s , it satisfies d_C .

- Falsification by \mathcal{M} .

By construction, \mathcal{M} falsifies C_{\neq} (l. 12) and $C_{=}$ (l. 13). Moreover each disequality in C_0 is between the representatives of a disequality $C_{\mathcal{M}}$ for some clause $C \in D_s$ (l. 5, 9). Since \mathcal{M} falsifies $C_{\mathcal{M}}$ by definition, and satisfies E_s (otherwise Algorithm 1 would have raised a conflict), \mathcal{M} also falsifies the disequality between the representatives. So \mathcal{M} falsifies C_0 .

Algorithm 4 Extracting a covering sequence of intervals

1:	function SEQ_EXTRACT($\{I_1,$	$\ldots, I_m\}, \mathcal{M})$
2:	$output \leftarrow () \qquad \triangleright out$	tput initialized with the empty sequence of intervals
3:	$longest \leftarrow LONGEST(\{I_1, \ldots$	$(I_m, I_m), \mathcal{M}) $ \triangleright longest interval identified
4:	$baseline \gets longest.upper$	\triangleright where to extend the coverage from
5:	$\mathbf{while} \ [\![baseline]\!]_{\mathcal{M}} ot\in [\![long]\!]$	$\mathbf{est}]_{\mathcal{M}} \mathbf{do}$
6:	$I \leftarrow \text{furthest_exten}$	${ m ND}({\sf baseline}, \{I_1, \ldots, I_m\}, \mathcal{M})$
7:	$output \gets output, I$	\triangleright adding I to the output sequence
8:	$baseline \gets I.upper$	\triangleright updating the baseline for the next interval pick
9:	\mathbf{if} [[baseline]] $_\mathcal{M} \in$ [[output.fi	rst∎ _M then
10:	${f return}$ output	\triangleright the circle is closed without the help of longest
11:	${f return}$ output, longest	\triangleright longest is used to close the circle

C Complements on interpolation for bitvector arithmetic

Table 1 is inspired by Table 1 in Janota and Wintersteiger's SMT'2016 paper [14]. We leverage the approach for the purpose of building interpolants, so in our case the expressions e_1 , e_2 , etc are not constants, but can have variables (with values in model \mathcal{M}). A rather cosmetic difference we make consists in working with intervals that exclude their upper bound, as this makes the theoretic and implemented treatment of those intervals simpler and more robust to the degenerate case of bitwidth 1, where 1 = -1. Another difference is that we take circular intervals, so that every constraint corresponds to exactly one interval; as a result, we do not need the case analyses expressed by the conditions of Table 1 in [14]. We do, however, make some new case analyses to detect when a constraint leads to an empty or full forbidden interval, since such intervals will be subject to a specific treatment when generating interpolants, as described in Section 4.2.

When the intervals $I_1 \ldots, I_m$ generated from C_1, \ldots, C_m are all forbidding values for the same lower-bits extract y[w:] of the conflict variable y, we know that $\bigcup_{i=1}^m \llbracket I_i \rrbracket_{\mathcal{M}}$ is the full domain $\mathbb{Z}/2^w\mathbb{Z}$. We can then use Algorithm 4 to extract a sequence $I_{\pi(1)}, \ldots, I_{\pi(q)}$ from $\{I_1 \ldots, I_m\}$ (i.e., an injective function π from [1;q] to [1;m]) that covers $\mathbb{Z}/2^w\mathbb{Z}$ in the following sense: $\bigcup_{i=1}^q \llbracket I_{\pi(i)} \rrbracket_{\mathcal{M}}$ is still $\mathbb{Z}/2^w\mathbb{Z}$ as in model \mathcal{M} the upper bound of each interval belongs to the next interval in the sequence. Algorithm 4 relies on the following ingredients:

- LONGEST($\{I_1, \ldots, I_m\}, \mathcal{M}$) returns an interval among $\{I_1, \ldots, I_m\}$ whose concrete version $[\![I]\!]_{\mathcal{M}}$ has maximal length;
- I.upper denotes the upper bound of an interval I (it is *excluded* from I);
- FURTHEST_EXTEND($a, \{I_1, \ldots, I_m\}, \mathcal{M}$) returns an interval I among $\{I_1, \ldots, I_m\}$ that furthest extends a according to \mathcal{M} (technically, an interval I that \leq^{u} -maximizes $\llbracket I.\mathsf{upper} a \rrbracket_{\mathcal{M}}$ among those intervals I such that $\llbracket a \rrbracket_{\mathcal{M}} \in \llbracket I \rrbracket_{\mathcal{M}}$).
- output.first denotes the first element of a sequence output;

Algorithm 4 stops with the first interval I that closes the circle, in that its concrete upper bound $\llbracket I.upper \rrbracket_{\mathcal{M}}$ belongs to $\llbracket longest \rrbracket_{\mathcal{M}}$ (it may or may not close the circle without the help of $\llbracket longest \rrbracket_{\mathcal{M}}$, hence the final if...then...else).

Note that $\bigcup_{i=1}^{m} \llbracket I_i \rrbracket_{\mathcal{M}}$ is not the full domain if and only if one of the calls FURTHEST_EXTEND $(a, \{I_1, \ldots, I_m\}, \mathcal{M})$ fails.

Example 9. In Example 7.2, the coverage algorithm 4 produces the sequence I_1, I_3, I_2 , namely $[x_1; x_1 + 1[, [x_2; -x_3[, [-x_3; x_1 - x_3[, since the longest concrete interval is <math>[I_2]_{\mathcal{M}}$.

Remark 1. The reason why we identify an interval of maximal length is to obtain a *minimal* coverage of the full domain: otherwise the last interval added to the sequence could include some of the first ones; removing those from the sequence would still produce a covering sequence.⁸ This does not happen when starting the sequence by extending the longest interval, but of course there could still be covering sequences with a smaller number of intervals.

Remark 2. The produced interpolant involves generating constraints $u_i \in I_{i+1}$. If $I_{i+1} = [l_{i+1}; u_{i+1}]$, a naive way of expressing $u_i \in I_{i+1}$ would be $(l_{i+1} \leq^{\mathsf{u}} u_i <^{\mathsf{u}} u_{i+1})$. That would fail to capture the possibility that the intervals overflow.⁹

D Example on multiple bitwidths

Example 10. Consider a variant of Example 6.2 with the constraints C_1, C_2, C_3, C_4 presented on the first line of Figure 5, and model $\mathcal{M} = \{x_1 \mapsto 1100, x_2 \mapsto 1101, x_3 \mapsto 0000\}$. The second line is obtained from Table 1, with the conditions on the third line being satisfied in \mathcal{M} .

Algorithm 3 identifies I_{C_2} as the longest among S_1 in model \mathcal{M} . The next interval among S_1 covering (x_1-x_3) in \mathcal{M} is I_{C_1} , so $(x_1-x_3) \in I_{C_1}$ is added as an interpolant constraint d_1 . Then x_1+1 is not covered in \mathcal{M} by any interval in S_1 : it starts a hole that spans up to $-x_3$. The hole $[x_1+1; -x_3[$ has length $0011 <^{\mathsf{u}} 2^2$ in \mathcal{M} , so $(-x_3-x_1-1 <^{\mathsf{u}} 2^2)$ is added as an interpolant constraint d_2 and a recursive call is made on $S'_2 = \{I_{C_3}, I\}$ and $S_3 = \{I_{C_4}\}$, where $I = [-x_3[2:]; x_1[2:]+1[$. The longest interval among S'_2 in \mathcal{M} is I_{C_3} , and it upper

⁸ The issue does not occur in MCSAT as currently implemented, where we have an extra piece of information, namely that the original constraints C_1, \ldots, C_m form a core of the conflict: if one of them, say C_1 , is removed, then $\exists y(C_2 \land \cdots \land C_m)$ evaluates to true in \mathcal{M} . If one of the intervals, say I_1 , was not needed for the coverage, then C_1 would not be in the core. Hence in our implementation, q is always m and the sequence is just an ordering of the set of intervals. Moreover if one of the intervals is full, then it must be the only interval. Still, the algorithm above allows us to produce the ordering.

⁹ A particular case could be made for the interval(s) that overflow(s), expressing the linking property differently, but that would actually give a particular role to the constant 0 in the circular domain $\mathbb{Z}/2^w\mathbb{Z}$. This would weaken the interpolant, in the sense that it would rule out fewer models that falsifies \mathcal{A} "for the same reason" \mathcal{M} does. Indeed, imagine another model \mathcal{M}' falsifying \mathcal{A} and leading to concrete intervals $\llbracket I_1 \rrbracket_{\mathcal{M}'}, \ldots, \llbracket I_m \rrbracket_{\mathcal{M}'}$ that only differ from $\llbracket I_1 \rrbracket_{\mathcal{M}}, \ldots, \llbracket I_m \rrbracket_{\mathcal{M}}$ in that all bounds are shifted by a common constant. The interpolant that gives a special role to 0 may not rule out \mathcal{M}' , whereas the interpolant we produce does.

Constant C	C_1 C_2		C_3	C_4
Constraint C	$\neg(y \simeq x_1)$	$(x_1 \leq^{u} x_3 + y)$	$(y[2:] \leq^{u} x_2[2:])$	$(y[1:]\simeq 0)$
Forbidden	[m . m 1[]]	[m [2i] + 1.0[[1.0[
interval I_C	$[x_1, x_1 + 1]$	$[-x_3, x_1 - x_3]$	$[x_2[2:] + 1; 0]$	[1,0]
Condition c	$(0 \not\simeq -1)$	$(x_1 \not\simeq 0)$	$(x_2[2:] \not\simeq -1)$	$(0 \not\simeq -1)$
Concrete	[1100.1101[[0000.1100]	[10.00]	[1.0[
interval $\llbracket I_C \rrbracket_{\mathcal{M}}$	[1100;1101]	[0000,1100]	[10,00]	[1,0]
bitwidth w_i	$w_1 = 4$		$w_2 = 2$	$w_3 = 1$
Interval layer S_i	$S_1 = \{I_{C_1}, I_{C_2}\}$		$\mathcal{S}_2 = \{I_{C_3}\}$	$\mathcal{S}_3 = \{I_{C_4}\}$
Forbidding	y		a[9.]	a.[1.]
values for			y_{2}	y_{1}

Fig. 5: Example with multiple bitwidths

bound 00 is covered in \mathcal{M} by I, so $00 \in I$ is added as an interpolant constraint d_3 . Then $x_1[2:]+1$ is not covered in \mathcal{M} by any interval in \mathcal{S}'_2 : it starts a hole that spans up to $x_2[2:]+1$. The hole $[x_1[2:]+1; x_2[2:]+1[$ has length $01 <^{u} 2^1$ in \mathcal{M} , so $(x_2[2:]-x_1[2:] <^{u} 2^1)$ is added as an interpolant constraint d_4 and a recursive call is made on $\mathcal{S}'_3 = \{I_{C_4}, I'\}$ where $I' = [x_2[1:]+1; x_1[1:]+1[$. Intervals I_{C_4} and I' finally cover $\mathbb{Z}/2\mathbb{Z}$, with $(x_1[1:]+1) \in I_{C_4}$ and $0 \in I'$ added as interpolant constraints d_5 and d_6 . Coming back from the recursive calls, $(x_2[2:]+1) \in I_{C_3}$ and then $-x_3 \in I_{C_2}$ are added as interpolant constraints d_7 and d_8 . The interpolant is $\bigwedge^8_{i=1} d_i \Rightarrow \bot$.